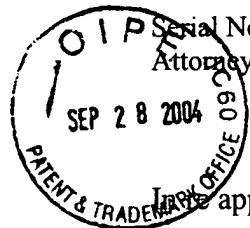


09-29-04

IPD #



Serial No. 10/692,165  
Attorney Docket No. 60,680-741

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First application of: Wu, et al.

Serial No.: 10/692,165 Group Art Unit: 3753

Filed: 10/23/2003 Examiner: Unassigned

For: LATERAL PLATE SURFACE COOLED HEAT EXCHANGER

Atty Dkt. No.: 60,680-741

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT - 37 C.F.R. §1.97**

Dear Sir/Madam:

Applicant submits this Supplemental Information Disclosure Statement in accordance with the duty of disclosure under 37 C.F.R. §1.56 and §1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b)(3). Enclosed herewith is a List of References Cited by Applicant (PTO-1449) (one sheet).

Inasmuch as each of the references listed on attached Form PTO-1449 is in English, no further commentary by Applicant is believed necessary, 37 C.F.R. §1.98(a)(3). Applicant respectfully solicits the Examiner's consideration of the cited references and entry thereof into the record of this application.

10/01/2004 JADDO1 00000037 042223 10692165

01 FC:1806 — 100.00 DA

**CERTIFICATE OF EXPRESS MAIL**

Express Mail Label No.: ER521952751US  
Date of Deposit: 09/28/2004

I hereby certify that this Supplemental Information Disclosure Statement - 37 C.F.R. § 1.97 is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

  
Mary F. Peltier

Serial No. 10/692,165  
Attorney Docket No. 60,680-741

Applicant, by submitting this Information Disclosure Statement, is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Information Disclosure Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, is otherwise "material to patentability," as that term is defined in that section.

Respectfully submitted,

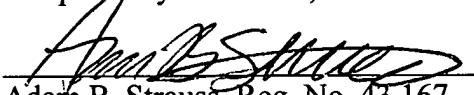
  
Adam B. Strauss, Reg. No. 43,167  
DYKEMA GOSSETT PLLC  
39577 Woodward Avenue, Suite 300  
Bloomfield Hills, MI 48304  
(248) 203-0764  
Attorney for Applicant  
Customer No. 26127

Dated: September 28, 2004

#### PETITION AND FEE

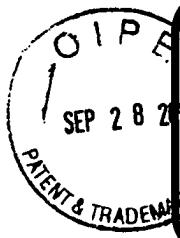
Applicant believes that this Supplemental Information Disclosure Statement is being submitted prior to the mailing date of the first Office Action in the above-identified matter, in accordance with 37 C.F.R. § 1.97(b)(3). However, in the event that the first Office Action has already been mailed and Applicant has not yet received the Office Action, Applicant hereby petitions for consideration of this Supplemental Information Disclosure Statement and authorizes the Assistant Commissioner to deduct the fee of \$180.00 required under 37 C.F.R. § 1.97(c)(2) and 37 C.F.R. § 1.17(p) from Deposit Account No. 04-2223. The Assistant Commissioner is further to authorized deduct any additional fees required in connection with this Supplemental Information Disclosure Statement from Deposit Account No. 04-2223 and to credit any overpayment to Deposit Account No. 04-2223.

Respectfully submitted,

  
Adam B. Strauss, Reg. No. 43,167  
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39577 Woodward Avenue, Suite 300  
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Attorney for Applicant  
Customer No. 26127

Dated: September 28, 2004

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.



Substitute for form 1449A/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/692,165
				Filing Date	10/23/2003
				First Named Inventor	WU ET AL.
				Art Unit	3753
				Examiner Name	UNASSIGNED
Sheet	1	of	1	Attorney Docket Number	

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

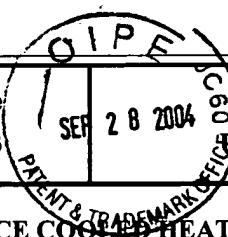
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): WU ET AL.

Docket No.

60,680-741

Application No.  
10/692,165Filing Date  
10/23/2003Examiner  
UNASSIGNEDCustomer No.  
26127Group Art Unit  
3753Invention: **LATERAL PLATE SURFACE COOLED HEAT EXCHANGER**

I hereby certify that the following correspondence:

**FORM PTO-1449***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

09/28/2004*(Date)***MARY F. PELTIER***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*ER521952751US*("Express Mail" Mailing Label Number)*

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